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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stanley P. Dabrowski      Examiner: Not yet assigned  
Serial No.: 09/939,922      Group Art Unit: 3713  
Filed: August 27, 2001      Docket: G&C 31003.1-US-U5  
Title: METHOD AND APPARATUS FOR SCRIP DISTRIBUTION AND  
MANAGEMENT PERMITTING REDISTRIBUTION OF ISSUED SCRIP

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on November 2, 2001.

By: Victor G. Cooper  
Name: Victor G. Cooper

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

Under 37 C.F.R. §1.98(d)(2), a copy of each document or other information listed on the enclosed Form 1449 that was previously cited by or submitted to the U.S. Patent and Trademark Office in an earlier application and relied upon for an earlier effective filing date under 35 U.S.C. 120 is not required to be provided. Such earlier applications include:

- (1) 09/368,036 filed on August 3, 1999; or
- (2) 09/368,296 filed on August 3, 1999; or
- (3) 09/368,096 filed on August 3, 1999; or

(4) 09/366,224 filed on August 3, 1999.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

Stanley P. Dabrowski

By his attorneys,

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(310) 641-8797

Date: November 2, 2001

By: Victor G. Cooper  
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VGC/sjm